

**PROTOKINETIX, INC.**  
Reported by  
**YOUNG LACHLAN GRANT**

**FORM 4**  
(Statement of Changes in Beneficial Ownership)

Filed 11/25/19 for the Period Ending 07/15/19

Address	412 MULBERRY STREET MARIETTA, OH, 45750
Telephone	304-299-5070
CIK	0001128189
Symbol	PKTX
SIC Code	2834 - Pharmaceutical Preparations
Industry	Biotechnology & Medical Research
Sector	Healthcare
Fiscal Year	12/31

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Young Lachlan Grant			ProtoKinetix, Inc. [ PKTX ]			<input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)		
(Last) (First) (Middle)			3. Date of Earliest Transaction (MM/DD/YYYY)					
66438 ROSEBERY AVENUE			7/15/2019					
(Street)			4. If Amendment, Date Original Filed (MM/DD/YYYY)			6. Individual or Joint/Group Filing (Check Applicable Line)		
WEST VANCOUVER BC, A1 V7W 2C6						<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
(City) (State) (Zip)								

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock (1)								10021250	D	
Common Stock (1)								1125600	I	See footnote (2)

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Warrant	\$0.10	7/15/2019		D		6000000		(3)	4/22/2020	Common Stock	6000000	\$0.00	0	D		
Stock Option	\$0.04	7/15/2019		D		5000000		(4)	2/28/2020	Common Stock	5000000	\$0.00	0	D		
Stock Option	\$0.08	7/15/2019		D		5000000		(5)	12/31/2019	Common Stock	5000000	\$0.00	0	D		
Warrant	\$0.26	7/15/2019		A		6000000			7/15/2019	7/14/2024	Common Stock	6000000	\$0.00	6000000	D	
Stock Option	\$0.26	7/15/2019		A		5000000		(6)	7/14/2024	Common Stock	5000000	\$0.00	5000000	D		
Stock Option	\$0.26	7/15/2019		A		5000000		(6)	7/14/2024	Common Stock	5000000	\$0.00	5000000	D		
Stock Option	\$0.11	11/18/2019		A		5000000		(7)	11/17/2024	Common Stock	5000000	\$0.00	5000000	D		
Stock Option	\$0.09							(8)	11/8/2023	Common Stock	5000000		5000000	D		
Stock Option	\$0.06							(9)	8/31/2021	Common Stock	5000000		5000000	D		
Stock Option	\$0.05							(10)	12/31/2020	Common Stock	5000000		5000000	D		

### Explanation of Responses:

- A total of 4,296,850 shares were inadvertently omitted from prior Section 16 reports; 3,171,250 owned by Mr. Young directly, and 1,125,600 owned by his wife.
- Owned by Mr. Young's wife.
- Cancellation of warrant with no value received.
- Cancellation of option with no value received. Options issued pursuant to the 2015 Stock Option and Stock Bonus Plan on March 1, 2015. 1,250,000 shares of common stock vested March 1, 2015; thereafter 1,250,000 vested every three months and became fully vested on December 1, 2015
- Cancellation of option with no value received. Options issued pursuant to the 2015 Stock Option and Stock Bonus Plan on January 1, 2016. 1,250,000 shares of common stock vested on March 31, 2016; thereafter 1,250,000 shares vested every three months and became fully vested on December 31, 2016.

- (6) Options issued pursuant to the Company's Amended 2017 Stock Option and Stock Bonus Plan on July 15, 2019. 1,250,000 shares of common stock vest on October 13, 2019; thereafter 1,250,000 shares vest every three months.
- (7) Options issued pursuant to the Company's Amended 2017 Stock Option and Stock Bonus Plan on November 18, 2019. 1,250,000 shares of common stock vest on February 18, 2020; thereafter 1,250,000 shares vest every three months until fully vested.
- (8) Options issued pursuant to the Amended 2017 Stock Option and Stock Bonus Plan on November 9, 2018. 1,250,000 shares of common stock vest March 31, 2019; thereafter 1,250,000 shall vest every three months.
- (9) Options issued pursuant to the 2017 Stock Option and Stock Bonus Plan on September 1, 2017. 1,250,000 shares of common stock vested on December 31, 2017; thereafter 1,250,000 vested every three months and became fully vested on September 30, 2018.
- (10) Options issued pursuant to the 2017 Stock Option and Stock Bonus Plan on January 1, 2017. 1,250,000 shares of common stock vested March 31, 2017; thereafter 1,250,000 vested every three months and became fully vested on December 31, 2017.

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Young Lachlan Grant 66438 ROSEBERY AVENUE WEST VANCOUVER BC, A1 V7W 2C6		X		

**Signatures**

/s/ Lachlan Grant Young

11/24/2019

—Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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